



*Sector* \$  
PATENT APPLICATION #3

Attorney Docket No. 71029

Date: August 22, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: NOTHNAGEL, et al.

Appln No.: 09/828,718

Filed: April 5, 2001

For: Stable Aqueous Polymer Dispersions  
And a Process for Their Preparation

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

Aug. 22, 2001

Date

Registration No.

35,234

Attorney for Applicants

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS

Commissioner of Patents and Trademarks

ATTENTION: Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated May 22, 2001, enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) An executed Declaration for Patent Application, including Power of Attorney.
- (X) Assignment(s) of the invention to Eastman Chemical Company, and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- (X) Charge Deposit Account No. 06-1135 in the amount of \$ 40.00 to cover the fee for recording the assignment.

(X) Fee Calculation:

Fee Calculation For Claims As Filed

( ) Provisional Application Basic Fee \$ 150.00 \$ \_\_\_\_\_  
 • Surcharge under 37 C.F.R. \$1.16(1) \$ 50.00 \$ \_\_\_\_\_

or

(X) Non-Provisional Utility Application \$710.00 \$ 710.00  
 Basic Fee  
 • Independent 7 - 3 = 4 x \$ 80.00 = \$ 320.00  
 Claims  
 • Total Claims 27 - 20 = 7 x \$ 18.00 = \$ 126.00  
 • Fee for Multiply Dependent Claims x \$270.00 = \$ \_\_\_\_\_  
 • Surcharge under 37 C.F.R. \$1.16(e) x \$130.00 = \$ 130.00

or

( ) Design Application Basic Fee \$320.00 \$ \_\_\_\_\_  
 • Surcharge under 37 C.F.R. \$1.16(e) \$130.00 \$ \_\_\_\_\_

Total Filing and/or Surcharge Fee \$ 1,286.00

( ) Small Entity Fee (reduced by half) \$ \_\_\_\_\_

( ) Statement(s) of Status as Small Entity.

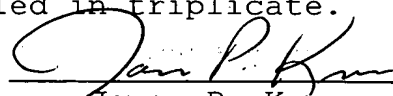
( ) A check in the amount of \$ \_\_\_\_\_ to cover the Filing and/or Surcharge Fee is enclosed.

(X) Charge \$ 1,286.00 to Deposit Account No. 06-1135 to cover Filing and/or Surcharge.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

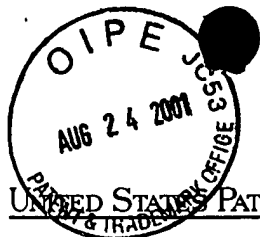
August 22, 2001

(Date)

  
 James P. Krueger

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,718	04/05/2001	Joseph Leo Nothnagel	71029

CONFIRMATION NO. 5597

22242

FITCH EVEN TABIN AND FLANNERY  
120 SOUTH LA SALLE STREET  
SUITE 1600  
CHICAGO, IL 606033406

## FORMALITIES LETTER



\*OC000000006104045\*

Date Mailed: 05/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/28/2001 HABDI1 00000016 061135 09828718

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 CH
02 FC:102	320.00 CH
03 FC:103	126.00 CH
04 FC:105	130.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$446.
  - \$126 for 7 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1286.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

*Dea*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE